

Effective as of November 17, 2011

The Parameters of the Central Depository's Database and Securities Accounts

1. ISIN code of Lithuanian securities (CD code of securities)

LTPF0000001X – LTPF0099999X – Pension funds
LTIF0000001X – LTIF0099999X – Investment funds
LT000010000X – LT000029999X – Shares of public and private limited liability companies
(different CFI codes)
LT000030000X – LT000039999X – Corporate bonds with maturity up to 1 year
LT000040000X – LT000049999X – Corporate bonds with maturity over 1 year
LT000050000X – LT000059999X – Government securities (T-bills) with maturity up to 1 year
LT000060000X – LT000069999X – Government securities (bonds) with maturity over 1 year
LT000070000X – LT000079999X – Government savings notes with maturity up to 1 year
LT000080000X – LT000089999X – Government savings notes with maturity over 1 year
LT000090000X – LT000099999X – Derivative instruments
(Where the first two letters indicate the country of origin and X is a control number by ISO 6166 standard)

2. CD participant's code (CDP code)

0001 – 0699 – Central Depositories and Stock Exchanges
 0001 – Central Securities Depository of Lithuania (CSDL)
 0598 – AB NASDAQ OMX Vilnius
0801 – 0999 – Intermediaries of public trading
1000 – 2799 – Issuers – public limited liability companies
2800 – 3999 – Management companies and collective investment undertakings
4000 – 4999 – Issuers – private limited liability companies
5000 – 5999 – Issuers – indirect participants
8000 – 8999 – Financial institutions

3. Type of activity (TA)

06 – Principal agent for automatic issue adjustment
07 – Principal agent
11 – Client securities holding
12 – Pledged or otherwise legally restricted securities holding
15 – Own securities holding of Institutional investor
16 – Client securities holding of Institutional investor
19 – Client securities holding obtained on sub-commissioning basis
21 – Own securities holding of Intermediary of public trading
25 – Holding of securities pledged with the BoL
26 – Market maker's securities holding
30 – Issuer's agent
31 – Omnibus account holding
40 – Issuer
45 – Stock Exchange
46 – Guarantee Fund
51 – Issuer, whose status of a Central Depository participant has been cancelled
52 – Representative of the issuer, whose agreement with an Intermediary of public trading on the management of personal accounts has been broken off or terminated
99 – Central Depository

4. Nature of account (NA)

- 02 – Current account
- 03 – Unpaid securities account
- 04 – Account of securities in distribution
- 07 – Current account of securities of private companies
- 08 – Account of securities to be de-registered
- 09 – Republic of Lithuania Government securities and savings notes redemption account
- 10 – Government securities sales auction account
- 11 – Coordinator's account
- 12 – Account of securities allotted for further choice of dividend
- 20 – Bonus securities' account
- 30 – Account of securities allotted for further splitting
- 55 – Account of rights to be cashed
- 56 – Account of rights used to acquire new securities
- 57 – Account of rights not covered by the funds that are allotted for the payment of new securities
- 90 – Issue account

5. Type of operation (TO)

Stock events

Primary trading in securities

- 060 – Securities allotment
- 061 – Initial public offering (IPO) via the Exchange
- 070 – Issue registration
- 071 – Payment of the issue
- 090 – Other transfers of the Central Depository
- 102 – Primary trading in Government securities
- 103 – Primary trading in securities
- 104 – Primary trading in the Republic of Lithuania savings notes
- 107 – Non-payment of securities during the primary trading
- 108 – Primary trading in Government securities at auction

Redemption

- 105 – Premature and final redemption of the Republic of Lithuania savings notes
- 106 – Redemption of corporate bonds through the Central Depository
- 109 – Government securities redemption from the participants
- 111 – Government securities redemption through the Central Depository
- 112 – Premature Government securities redemption auction
- 113 – Premature final redemption of Government securities
- 115 – Premature partial redemption of Government securities

Other Stock events

- 001 – Stock events
- 091 – Change of the Central Depository participant's code
- 110 – Closure of Government securities issue
- 150 – Debt securities coupon payment

Transactions concluded on the Stock Exchange

- 002 – Buy (to) /sell (from)
- 003 – Manual trades in securities
- 004 – Manual trades in Government securities
- 005 – Stock exchange transactions in securities
- 006 – Stock exchange transactions in Government securities
- 009 – Execution of a mandatory tender offer carrying settlement in cash
- 014 – Stock exchange REPO transactions
- 015 – Stock exchange securities transactions with a postponed settlement day (T+x)
- 016 – Public sale of a block of state-owned shares
- 017 – Public sale of a block of non-state-owned shares
- 018 – Execution of a voluntary tender offer carrying settlement in cash
- 019 – Execution of a competitive tender offer carrying settlement in cash
- 020 – Execution of a voluntary tender offer carrying settlement in securities
- 021 – Execution of a competitive tender offer carrying settlement in securities
- 022 – Execution of a voluntary tender offer carrying settlement in a composite way
- 023 – Execution of a competitive tender offer carrying settlement in a composite way
- 027 – Trading on NASDAQ OMX Riga
- 028 – Trading on NASDAQ OMX Tallinn
- 029 – Stock exchange transactions executed after the primary confirmation time

Free-of-payment transfers (FPT)

- 050 – Free-of-payment transfer by a unilateral order
- 053 – Free-of-payment transfer by bilateral orders
- 055 – Cross-border free-of-payment securities transfer initiated by a SSS participant
- 056 – Cross-border free-of-payment securities transfer initiated by a foreign depository participant
- 057 – FPT in Lithuanian securities between foreign depositories
- 058 – Issue adjustment of collective investment undertaking
- 059 – Internal Central Depository's transfers in securities
- 226 – pledging securities with the Bank of Lithuania
- 227 – redemption of the securities pledged with the Bank of Lithuania

OTC transactions

- 202 – Repurchase (REPO) transactions in securities, I stage
- 203 – REPO transactions, II stage
- 204 – REPO transactions in Government securities (GS), I stage
- 205 – REPO transactions in GS, II stage
- 206 – REPO transactions in securities (with BoL), I stage
- 207 – REPO transactions in securities (with BoL), II stage
- 208 – REPO transactions in GS (with BoL), I stage
- 209 – REPO transactions in GS (with BoL), II stage
- 214 – Intraday REPO transaction in securities (I stage)
- 215 – Intraday REPO transaction in securities (II stage)
- 216 – Intraday REPO transaction in GS (I stage)
- 217 – Intraday REPO transaction in GS (II stage)
- 219 – Overnight REPO transaction in securities (II stage)
- 221 – Overnight REPO transaction in GS (II stage)
- 222 – Manual trades in securities
- 223 – Manual trades in GS
- 224 – cross border DVP transfer of Lithuanian securities
- 225 – cross border DVP transfer of Latvian or Estonian securities

Transfers through the Depository's account in Clearstream Banking Luxembourg (CBL)

- 631 – Free-of-payment (FOP) transfer on CBL accounts
- 641 – CBL/Bridge DVP receipt
- 642 – CBL/Bridge FOP receipt
- 651 – CBL/Bridge DVP transfer
- 652 – CBL/Bridge FOP transfer
- 661 – DVP receipt from local market
- 662 – FOP receipt from local market
- 681 – FOP transfer to local market/redemption
- 682 – DVP transfer to local market
- 610 – Receipt of cash due in CBL
- 690 – Cash transfer from CBL
- 691 – tax deductions to CBL
- 692 – Foreign exchange conversion
- 693 – Cash transfer on CBL accounts

6. Type of order

- 00 – Free-of-payment transfer
- 01 – DVP transfer

7. Status of documents

- 10 – Document checked
- 11 – Wrong document
- 12 – Error message generated
- 20 – Instruction matched
- 22 – Allegement generated
- 30 – Identified cash
- 31 – Error
- 32 – Unidentified cash
- 40 – Instruction revoked
- 41 – Participant's instruction revoked
- 42 – SSS instruction revoked
- 50 – Movement generated
- 51 – Not to be recorded
- 60 – XML message sent
- 61 – XML message not sent
- 71 – XML message about error received
- 80 – Payment order fulfilled
- 81 – Payment order not fulfilled
- 90 – Revocation generated
- 91 – Revoked

8. Status of movements

- 10 – Correct registration
- 11 – Rejected registration
- 20 – Correct format check
- 21 – Rejected format check
- 30 – Correct accounting day movements
- 31 – Rejected movement
- 32 – Correct updated entries
- 33 – Rejected updated entries
- 34 – Cancelled settlements (on the initiative of AB NASDAQ OMX Vilnius)
- 35 – Pending accounting hour
- 36 – Pending end of day
- 37 – Revoked movements
- 38 – Blocked securities
- 39 – Generated payment instruction
- 40 – Entries to be recorded
- 41 – Temporary securities default
- 42 – Temporary cash default
- 43 – Temporary cash/securities default
- 44 – Other temporary defaults
- 45 – Securities default
- 46 – Cash default
- 47 – Securities and cash default
- 48 – Other defaults
- 50 – Recorded entries
- 90 – Cancelled transactions due to other reasons

9. Maintenance codes

- 1 – Transfer of the AS400 data files
- 2 – Paper
- 3 – Floppy disk
- 4 – Magnetic tape
- 5 – Remote access transfer

10. Source code

- 0001 – Entry transmitted by the Stock Exchange
 - 0002 – Stock events
 - 0003 – Entry transmitted by the CSDL
 - 0004 – LITAS instructions
 - 0005 – Savings notes of Lithuanian Government transmitted by the CSDL
 - 0006 – Movements of the second stage
 - 0007 – Redemption of debt securities through CSDL
 - 0XXX – electronic data interchange
 - 9999 – Origin unknown
- (where XXX – CD participant code)